



UNIVERSIDAD PERUANA
CAYETANO HEREDIA
ESCUELA DE POSGRADO

EVALUACION DEL XPERT MTB/Rif UN
METODO DE DIAGNOSTICO RAPIDO
PARA TBC EN PACIENTES VIH
POSITIVOS CON SOSPECHA DE TBC
PULMONAR

TESIS PARA OPTAR EL GRADO DE MAESTRO
EN CONTROL DE ENFERMEDADES
INFECCIOSAS Y TROPICALES

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LIMA - PERÚ

2013

Asesor de Tesis

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Dedicatoria, agradecimientos y fuentes de financiamiento

La presente tesis, está dedicada a mis padres. Sin ellos esta investigación no hubiese sido posible.

Damos las gracias al profesor Patrick Van der Stuyft por su valiosa aportación al revisar el manuscrito. A la Dra. Carolina Álvarez por el apoyo en el análisis de datos y a la Dra. Lena Shah por la edición final en inglés.

A la Dra. Patricia Condorhuamán, Blg. Tatiana Cáceres y Blg. Celer Pantoja por su apoyo con el manejo y procesado de muestras.

Un agradecimiento especial a Foundation for Innovative New Diagnostics (FIND), ya que donaron cartuchos de MTB / RIF.

Declaración de financiación

Este estudio fue apoyado por el Centro Internacional Fogarty del Instituto Nacional de la Salud de EE. UU. (NIH) mediante el premio Investigación y Capacitación Internacional sobre Servicios Clínicos, Operacionales y de Salud de Perú (ICOHRTA) [número de subvención 1U2RTW007368-01A1] .Recibió financiación parcial de la Cooperación Belga a través de un proyecto de colaboración institucional con el Instituto de Medicina Tropical de Amberes, Bélgica.

Los financiadores no tuvieron ningún papel en el diseño del estudio, datos recopilación y análisis, decisión de publicación o preparación del manuscrito.

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Resumen

Un estudio de precisión diagnóstica de Xpert®MTB / RIF en pacientes VIH positivos con alta sospecha clínica de tuberculosis pulmonar en Lima, Perú.

El diagnóstico de tuberculosis pulmonar (TB) entre pacientes con virus de inmunodeficiencia humana (VIH) sigue siendo complejo y exige pruebas precisas y fáciles de realizar. Xpert®MTB / RIF (MTB / RIF) es una prueba de diagnóstico de TB molecular que es rápida y conveniente; la prueba requiere recursos humanos mínimos e informa los resultados en dos horas. La mayoría de los estudios de rendimiento de MTB / RIF se han realizado en entornos de alta carga de VIH, por lo que aún se necesitan estudios de diagnóstico de TB en pacientes con VIH en entornos de baja prevalencia de VIH como Perú.

Metodología y hallazgos principales:

Desde abril de 2010 hasta mayo de 2011, los pacientes VIH positivos con alta sospecha clínica de tuberculosis se inscribieron en dos hospitales terciarios en Lima, Perú. La detección de TB por MTB / RIF se comparó con un estándar compuesto de referencia Löwenstein-Jensen (LJ) y un cultivo líquido. La detección de resistencia a la rifampicina se comparó con el método de proporción LJ. Se incluyeron 131 pacientes, el recuento medio de células CD4 fue de 154,5 células / mm³ (3) y 45 (34,4%) tenían tuberculosis. Para la detección de TB en pacientes con VIH, la sensibilidad de MTB / RIF fue del 97,8% (IC del 95%: 88,4-99,6) (44/45); la especificidad fue del 97,7% (IC del 95%: 91,9-99,4) (84/86); el valor predictivo positivo fue 95,7% (IC 95% 85,5-98,8) (44/46); y el valor predictivo negativo, 98,8% (IC 95% 93,6-99,8) (84/85). MTB / RIF detectó 13/14 casos de TB con baciloscopia negativa, superando a la microscopía de frotis [97,8% (44/45) vs. 68,9% (31/45); p = 0,0002]. Para la detección de resistencia a la rifampicina, la sensibilidad de MTB / RIF fue del 100% (IC del 95%: 61,0-100,0) (6/6); la especificidad fue 91,0% (IC 95% 76,4-96,9) (30/33); el valor predictivo

positivo fue 66.7% (IC 95% 35.4-87.9) (6/9); y el valor predictivo negativo fue del 100% (IC del 95%: 88,7 a 100,0) (30/30).

Conclusiones:

En pacientes con VIH en nuestra población con una alta sospecha clínica de TB, MTB / RIF tuvo un buen desempeño para el diagnóstico de TB y la microscopía de frotis superada.

A Diagnostic Accuracy Study of XpertHMTB/RIF in HIV-Positive Patients with High Clinical Suspicion of Pulmonary Tuberculosis in Lima, Peru

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Abstract

Background: Diagnosis of pulmonary tuberculosis (TB) among human immunodeficiency virus (HIV) patients remains complex and demands easy to perform and accurate tests. XpertHMTB/RIF (MTB/RIF) is a molecular TB diagnostic test which is rapid and convenient; the test requires minimal human resources and reports results within two hours. The majority of performance studies of MTB/RIF have been performed in high HIV burden settings, thus TB diagnostic studies among HIV patients in low HIV prevalence settings such as Peru are still needed.

Methodology/Principal Findings: From April 2010 to May 2011, HIV-positive patients with high clinical suspicion of TB were enrolled from two tertiary hospitals in Lima, Peru. Detection of TB by MTB/RIF was compared to a composite reference standard Löwenstein-Jensen (LJ) and liquid culture. Detection of rifampicin resistance was compared to the LJ proportion method. We included 131 patients, the median CD4 cell count was 154.5 cells/mm³ and 45 (34.4%) had TB. For TB detection among HIV patients, sensitivity of MTB/RIF was 97.8% (95% CI 88.4–99.6) (44/45); specificity was 97.7% (95% CI 91.9–99.4) (84/86); the positive predictive value was 95.7% (95% CI 85.5–98.8) (44/46); and the negative predictive value, 98.8% (95% CI 93.6–99.8) (84/85). MTB/RIF detected 13/14 smear-negative TB cases, outperforming smear microscopy [97.8% (44/45) vs. 68.9% (31/45); $p = 0.0002$]. For rifampicin resistance detection, sensitivity of MTB/RIF was 100% (95% CI 61.0–100.0) (6/6); specificity was 91.0% (95% CI 76.4–96.9) (30/33); the positive predictive value was 66.7% (95% CI 35.4–87.9) (6/9); and the negative predictive value was 100% (95% CI 88.7–100.0) (30/30).

Conclusions/Significance: In HIV patients in our population with a high clinical suspicion of TB, MTB/RIF performed well for TB diagnosis and outperformed smear microscopy.

Citation: Carriquiry G, Otero L, González-Lagos E, Zamudio C, Sánchez E, et al. (2012) A Diagnostic Accuracy Study of XpertHMTB/RIF in HIV-Positive Patients with High Clinical Suspicion of Pulmonary Tuberculosis in Lima, Peru. PLoS ONE 7(9): e44626. doi:10.1371/journal.pone.0044626

Editor: Adithya Cattamanchi, San Francisco General Hospital, University of California San Francisco, United States of America

Received March 19, 2012; Accepted August 6, 2012; Published September 7, 2012

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Funding: This study was supported by Fogarty International Center at the U.S. National Institutes of Health (NIH) through Peru International Clinical, Operational, and Health Services Research and Training Award (Peru ICOHRTA network for AIDS/TB research) [grant number 1U2RTW007368-01A1] and received partial funding of the Belgian Cooperation through a project of institutional collaboration with the Institute of Tropical Medicine in Antwerp, Belgium. The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

Competing Interests: The authors have read the journal's policy and have the following conflict: Pamela Nabeta (co-author) is an employee of FIND diagnostics, who have formed a partnership with the manufacturer of Xpert HMTB/RIF to promote uptake of the test. The other authors declare no conflict of interest. There are no further patents, products in development or marketed products to declare. This does not alter the authors' adherence to all the PLoS ONE policies on sharing data and materials, as detailed online in the guide for authors.

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Introduction

Tuberculosis (TB) is the leading cause of death in human immunodeficiency virus (HIV) infected patients [1]. New TB diagnostic tests and strategies are urgently needed within this population. Several new TB diagnostic tests have recently been developed; however, those require further evaluation among HIV infected patients. Ideally these new diagnostic tests should be accurate, provide results in a time frame that allows efficient treatment decision-making without increasing the demand of the already scarce human resources available in countries affected by HIV and TB.

Achieving accurate diagnosis of TB disease is more complex in HIV patients than in subjects with normal immunity [2]. Sputum smear microscopy has limited accuracy amongst HIV infected patients, further complicated by the multiple clinical, subclinical and atypical presentations observed among these patients [2,3]. Furthermore, TB disease can disseminate rapidly in patients with advanced immunosuppression. Prompt diagnosis of TB in HIV patients could lead to early treatment initiation and could contribute to decrease TB-related mortality.

Smear microscopy is the cornerstone of TB diagnosis and case detection in the vast majority of TB control programs [4]. It is inexpensive, has few technical requirements and in settings with

high burden of disease smear microscopy has a high positive predictive value despite its variable (35 to 80%) sensitivity [5]. Nevertheless, conditions such as high HIV rates, concurrent non-tuberculosis mycobacterial infections, and multidrug resistant tuberculosis (MDR-TB) can impact its diagnostic yield and effectiveness [6,7]. The current reference standard for TB diagnosis is the culture of *Mycobacterium tuberculosis* (Mtb).

Culture does allow for drug resistance testing; however the test requires proper laboratory infrastructure and trained personnel and the time required for culture growth is long [8].

XpertHMTB/RIF (Cepheid, Sunnyvale, USA) is a semi-quantitative molecular test for simultaneous detection of TB and rifampicin resistance through detection of the *rpoB* gen. This test works with the GeneXpertH System device (Cepheid, Sunnyvale, USA) that fully automates a real-time polymerase chain reaction (rt-PCR) and provides results within two hours. It has minimal biosafety requirements and reduced technical manipulation [9]. XpertHMTB/RIF was endorsed in 2010 by the World Health Organization (WHO) for the screening of TB in persons suspected of having MDR-TB or HIV-TB co-infection.

To date, most studies have evaluated the performance of XpertHMTB/RIF test (from now on referred as MTB/RIF) in pulmonary and extrapulmonary specimens mostly in HIV-endemic countries in Africa where up to 80% of TB patients are HIV co-infected [10–17]. We evaluated the performance of MTB/RIF in HIV-positive adult patients with high clinical suspicion of pulmonary TB in two sites in Lima, a setting that has one of the highest TB and MDR-TB rates in the Americas, as well as low (<3%) HIV prevalence in the general population.

Methods

Study Setting

We conducted a cross-sectional study to evaluate the diagnostic test accuracy of MTB/RIF in identifying pulmonary TB disease in HIV patients in two tertiary hospitals: Hospital Nacional Hipólito Unánue (HNHU) in Eastern Lima and Instituto de Medicina Tropical Alexander von Humboldt (IMTA vH) in Northern Lima. In 2010, the incidence for all TB cases in Peru was 110 per 100,000 population and 2.6% were co-infected with HIV [18].

Study Patients

We included patients 18 years of age or older with an HIV diagnosis confirmed by Western Blot, a high clinical suspicion of TB and who had not received more than two doses of TB treatment. A high clinical suspicion of TB was defined as cough for ten or more days with concurrent abnormal chest x-ray (cavity, focal opacity, pleural effusion, nodule or lymphadenopathy) and at least one of the following symptoms: fever, fatigue, night sweats, hemoptysis, chest pain or weight loss. We included those who agreed to participate and completed the written informed consent. Patients who did not provide a second sputum sample with the required volume were subsequently excluded.

Study Procedures

Trained study health personnel interviewed and enrolled study patients using a structured questionnaire for demographic, clinical and epidemiological data. Interviews were conducted prior to obtaining the first sputum sample. Clinical records were reviewed in case of discrepancies between the reference standard and the MTB/RIF.

Sample Collection and Processing

The microbiology laboratory at IMTA vH conducted diagnostic tests requested by the Peruvian National TB Program [smear microscopy, Löwenstein-Jensen culture (LJ), and LJ proportion method (LJ PM)] and Mycobacteria Growth Indicator Tube (MGIT). Routine tests done on the first sputum sample, usually an on-the-spot sample, were not included in the primary analysis. The following day, the second sample, usually a morning sample, was collected and used to perform direct MTB/RIF and to repeat all the tests done to the first sample. Sputum samples that could not be processed on the same day were stored at 4°C and processed the following morning or on Monday if it was collected on a Saturday.

The study staff transported all the samples by car on a daily basis at 4°C from HNHU to the microbiology laboratory at IMTA vH (distance of >20 minutes). All tests were performed according to standard protocols and established guidelines [19,20]. Briefly, 3 ml of sputum were transferred to a 50 ml Falcon tube to be decontaminated with N-acetyl-L-cysteine and sodium hydroxide; of the decontaminated pellet >0.5 ml was used for smear staining with Ziehl-Neelsen. Two slopes of LJ culture were inoculated with >0.2 ml sputum pellets. For MGIT, >0.5 ml sputum pellets were inoculated on liquid medium BD BBL Manual MGIT™ (Cockeysville, MD, USA) and MGIT tubes were read using the BD BACTEC™ MicroMGIT Fluorescence Reader (Cockeysville, MD, USA). Drug susceptibility testing was performed using the LJ PM. For direct MTB/RIF, the sputum sample was carefully mixed to make it homogeneous, then sample reagent was added to 1 ml of untreated sputum on a 2:1 ratio, mixed twice manually during the incubation period for 15 minutes at room temperature, and then 2 ml were transferred to the MTB/RIF cartridge as previously described [21]. The cartridge was closed and placed into the GeneXpertH System for analysis.

Three trained laboratory technicians performed routine tests and the MTB/RIF test was performed by a single technician with experience in handling the GeneXpertH System. All technicians remained blinded to results of the tests they did not perform.

Due to test manufacturer modifications to MTB/RIF software during study performance, we worked with two different MTB/RIF software versions: 2.1 and 4.0. The new version (4.0) had higher cutoff values for rifampicin detection and did not include changes for Mtb detection [16]. The new version was used for only six samples included in this analysis.

Data Management and Statistical Analysis

A head-to-head per-sample analysis of MTB/RIF was the primary analysis for Mtb detection: the second sputum sample was examined using the MTB/RIF as the index test and the reference standard was a composite culture (LJ/MGIT) of the second sputum sample. The reference standard was positive if there was Mtb growth in at least one slope of LJ or in a MGIT culture tube, and negative if the results of both cultures - LJ and MGIT- were negative. In addition, the reference test was considered contaminated if both LJ and MGIT were contaminated. Contaminated reference standard tests or a MTB/RIF result reported as invalid were excluded from the analysis. We compared the performance of MTB/RIF with that of sputum smear microscopy. Finally, considering the LJ PM as the reference standard, we assessed the performance of MTB/RIF for the detection of rifampicin resistance and MDR-TB. Accordingly, a rifampicin resistance case was defined as rifampicin resistance detected by the LJ PM and rifampicin sensitive case was defined if the results of the LJ PM showed patterns of full drug sensitivity or drug resistance excluding rifampicin.

As a secondary analysis we conducted a head-to-head per-patient assessment for Mtb detection. A subject with a positive reference standard test in at least one of the two sputum samples was considered a PTB case, and one with a negative reference standard test in both sputum samples was not considered a PTB case. Unless we refer to a “PTB case”, all other mentions to culture-positive patients or patients with tuberculosis refers to the primary analysis, thus to the second sputum sample.

Study sample size was calculated using sample size formula for estimating a proportion with a normal approximation $n = z^2 \cdot p(1-p)/e^2$ [22] with expectations of 98% sensitivity and 97% specificity (chosen from reports on MTB/RIF in HIV-negative patients [21] as at the time that our study was designed there were no studies on HIV-positive patients), 5% desired precision for a 95% confidence interval, and 5% expected attrition. No power level was specified because the primary objective was estimation and not a comparison.

All data from questionnaires and laboratory results was entered into Microsoft Access database (Microsoft, Redmond, WA, USA). Sensitivity, specificity, likelihood ratios (LLR), kappa coefficient and predictive values of the tests were calculated using 262 tables and OpenEpi v 2.3.1 [23] and the Wilson score method was used to obtain 95% confidence intervals (CI). This report was done following STARD guidelines [24].

Ethical Considerations

The study protocol was approved by the Institutional Ethics Committee at Universidad Peruana Cayetano Heredia and by the Institutional Ethics Committee at HNHU. Written informed consent was received from all participants and all data was processed anonymously. MTB/RIF results were not used for treatment management; only routine tests results were given to the treating physicians. TB cases were then referred to the National TB Program center at each site where free treatment under directly observed treatment short course (DOTS) was provided.

Results

From April 2010 to May 2011, 158 patients were screened in the two sites, of which 136 were eligible for the study and 131 were included in the analysis, as shown in figure 1. The median age of patients was 35 years (IQR 29–42) and 73% were male. The median CD4 count was 154.5 cells/mm³ (IQR 51.3–341.5). A prior TB episode was reported by 25% of patients and 32% were receiving highly active antiretroviral therapy (HAART) at enrollment. Other demographic data is listed in table 1.

Out of the 131 patients included, 45 (34.4%) had TB and among these 14 (31.1%) were smear negative. The proportion of TB per site was 45.1% (95% CI 31.4–58.6) at HNHU and 27.5% (95% CI 18.6–38.0) at IMTAvH.

Mtb Detection with MTB/RIF

Overall, MTB/RIF sensitivity for detection of Mtb was 97.8% (95% CI 88.4–99.6) (44/45); the specificity, 97.7% (95% CI 91.9–99.4) (84/86); the positive predictive value, 95.7% (95% CI 85.5–98.8) (44/46); and the negative predictive value, 98.8% (95% CI 93.6–99.8) (84/85). The positive likelihood ratio (+LLR) of MTB/RIF was 42.0 (95% CI 15.8–112.1), and the negative LLR 0.0 (95% CI 0.0–0.2). The kappa coefficient value was 0.9 (95% CI 0.8–1.1).

MTB/RIF outperformed smear microscopy [97.8% (44/45) vs. 68.9% (31/45); $p = 0.0002$] and detected 13 out of 14 (92.2%) smear negative, culture-positive versus 31 out of 31 (100%) smear-positive, culture-positive patients. Table 2 shows the distribution of

combined results according to reference standard, index test and smear microscopy for the 131 patients included in the analysis as well as three additional eligible patients with indeterminate results.

Rifampicin Resistance Detection with MTB/RIF

Six MTB/RIF cartridges with improved rifampicin resistance software (v 4.0) were used but they were all Mtb negative for both reference standard and MTB/RIF tests. Rifampicin resistance was assessed in 39 (86.7%) out of 45 patients with TB. In five cases the results of the LJ PM were not available (five LJ PM were not done, due to clerical error and one LJ PM was sensitive for all drugs, but MTB/RIF was negative for Mtb).

Rifampicin resistance was found in six out of 39 patients, all of these were also detected by MTB/RIF: five had MDR-TB and one was sensitive to isoniazid. Furthermore, MTB/RIF detected rifampicin resistance in three additional patients that were not detected by the reference standard. Overall, MTB/RIF sensitivity for rifampicin detection was 100% (95% CI 61.0–100.0) (6/6); the specificity was 91.0% (95% CI 76.4–96.9) (30/33); the positive predictive value was 66.7% (95% CI 35.4–87.9) (6/9); and the negative predictive value 100% (95% CI 88.7–100.0) (30/30). The +LLR was 11 (95% CI 5.7–21.1), and the kappa coefficient value was 0.8 (95% CI 0.5–1.1).

Outcomes of Patients with Discordant Results

In terms of Mtb detection by MTB/RIF, three (2.3%) patients had discordant results with the reference standard. A false negative MTB/RIF test was observed in a patient with a negative smear. The patient showed a positive response to treatment (defined as resolution of initial symptoms and weight gain) and was reported as cured. Two patients had false positive MTB/RIF tests, one of them was also smear-positive, responded well to treatment and was reported as cured; the other did not start treatment and died one month after study inclusion with no defined cause of death.

Finally, there were two cases with positive smears and negative results in the reference standard and MTB/RIF tests. Both of them completed TB treatment and were reported as cured.

Three patients were MTB/RIF rifampicin resistant and LJ PM sensitive; all three started TB treatment for sensitive cases (isoniazid, rifampicin, pyrazinamide and ethambutol for two months followed by isoniazid and rifampicin for four months). Two of them finished treatment and were reported to be cured and the other one was lost to follow-up.

Performance of MTB/RIF by Immunological Status of Patients

Performance of MTB/RIF by immunological status of the patients was evaluated for 96.2% (126/131) patients with available CD4 count at study inclusion. MTB/RIF performance was not affected by immunological status. Patients with CD4 counts below 200 cells/mm³ had a sensitivity of 100% (95% CI 83.9–100.0) (20/20) while patients with CD4 counts above 200 cells/mm³ had a sensitivity of 95.5% (95% CI 78.2–99.1) (21/22); $p = 0.5$. Patients with CD4 counts below 200 cells/mm³ had a specificity of 96.1% (95% CI 86.8–98.9) (49/51), while patients with CD4 counts above 200 cells/mm³ had a specificity of 100% (95% CI 89.6–100.0) (33/33); $p = 0.4$.

Indeterminate Results

One patient had a contaminated reference standard test (1/134, 0.7%) with a negative MTB/RIF result. Two patients (2/134, 1.5%) had invalid results with MTB/RIF (which translates into the sample not properly processed or rt-PCR inhibited). As sufficient

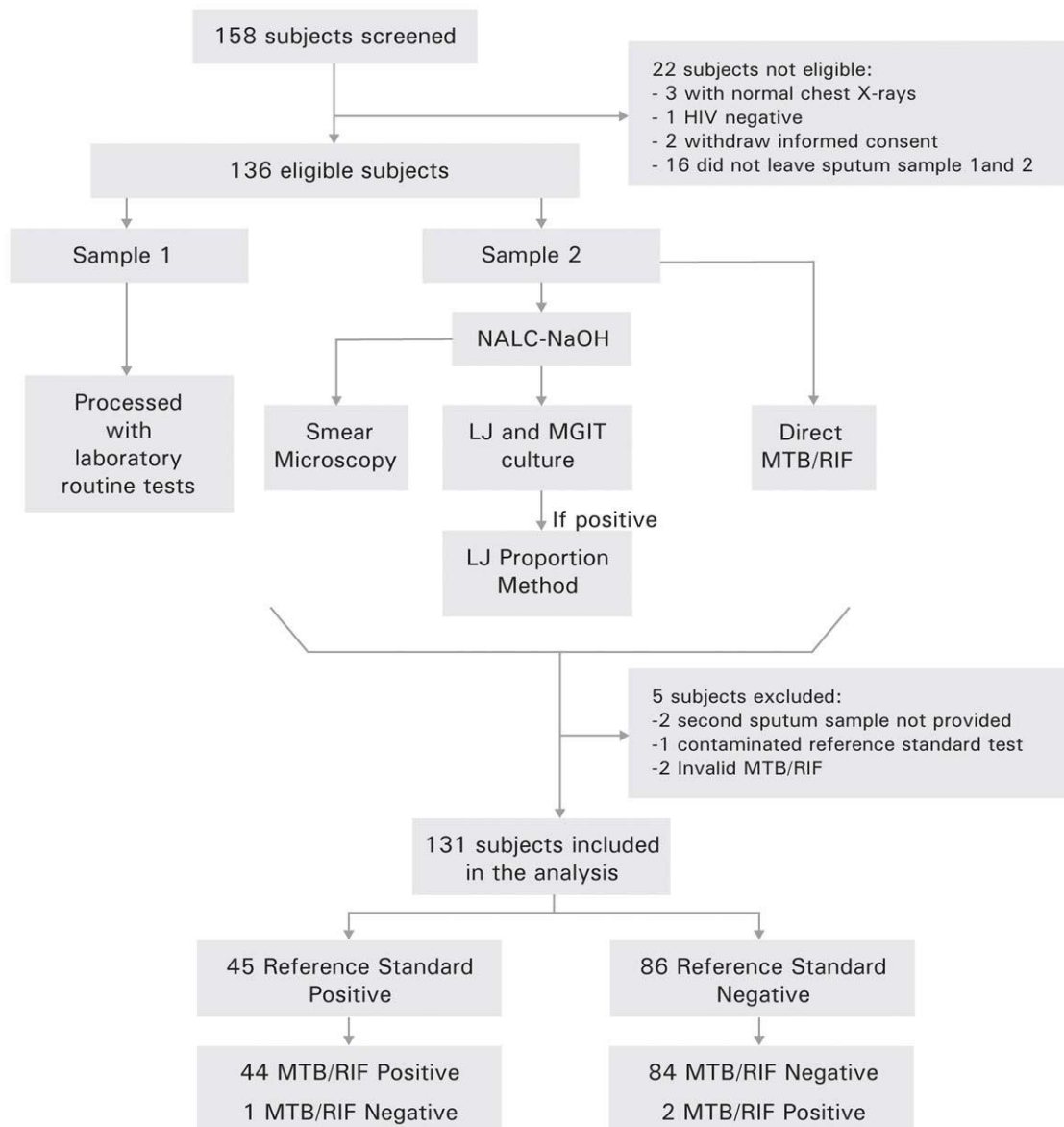


Figure 1. Study Algorithm. LJ: Löwenstein-Jensen culture; MGIT: Mycobacteria Growth Indicator Tube; Reference standard: composite LJ & MGIT culture; MTB/RIF: XpertMTB/RIF; Routine tests: Smear, LJ, MGIT and LJ proportion method; NALC-NaOH: N-acetyl-L-cysteine and sodium hydroxide. doi:10.1371/journal.pone.0044626.g001

sample remained, MTB/RIF test was repeated in these two patients, without detection of Mtb in concordance with the results of their reference standard.

Analysis Including Two Sputum Samples

We assessed performance of the index test for Mtb detection, done only on the second sputum sample, with a reference standard defined as any positive result in either the first or the second sputum sample to have a per-patient analysis.

MTB/RIF sensitivity for detection of Mtb was 86.3% (95% CI 74.3–93.2) (44/51); the specificity, 97.5% (95% CI 91.3–99.3) (78/80); the positive predictive value, 95.7% (95% CI 85.5–98.8) (44/46); and the negative predictive value, 91.8% (95% CI 84.0–96.0) (78/85). The +LLR of MTB/RIF was 34.6 (95% CI 12.9–92.6), and the negative LLR was 0.1 (95% CI 0.1–0.2). The kappa coefficient value was 0.9 (95% CI 0.7–1.0).

A comparison of the performance of MTB/RIF for Mtb detection between per-sample and per-patient analysis is described in Table 3.

Discussion

We report that MTB/RIF had a high specificity (97.7%) in detecting Mtb, confirming the findings of other studies [11,12,14,15]. Two patients had false positive MTB/RIF results as compared to the reference standard; however, one of them was clinically diagnosed with TB and successfully completed treatment. In low income countries, TB diagnosis and treatment initiation is based on smear microscopy results. In our study, MTB/RIF outperformed smear microscopy for Mtb detection in almost one third of the patients. One could expect that the prompt results provided by MTB/RIF would allow a timely diagnosis and prompt initiation of TB treatment. As extensively reported in the

Table 1. Selected demographic characteristics of study patients.

	Total Study Patients (N = 131)	Culture Negative (N = 86)	Culture Positive (N = 45)	Crude RR (CI95%)
Median age in years (IQR)	35(29–42)	35(30–42)	34(29–41)	
Median CD4 count [†] (IQR)	154.5 (51.5–341.5)	124 (37.5–346.0)	222 (87.0–339.0)	
	n/N (%)	n/N (%)	n/N (%)	n/N (%)
Gender Male Female	95(73) 36(27)	61(71) 25(29)	34(76) 11(24)	1.1(0.8–1.4) 1
Prior TB episode Yes No	33(25) 98(75)	20(23) 66(77)	13(29) 32(71)	1.1(0.8–1.5) 1
On HAART at enrollment Yes No	42(32) 89(68)	30(35) 56(65)	12(27) 33(73)	0.9(0.7–1.1) 1
Household contact Yes No	36(27) 95(73)	20(23) 66(77)	16(36) 29(64)	1.3(0.9–1.7) 1
Previously received isoniazid preventive treatment Yes No	15(12) 112(85)	13(15) 73(85)	2(4) 39(87)	0.4(0.1–1.4) 1

IQR: interquartile range; TB: tuberculosis; HAART: Highly active antiretroviral therapy.

[†]Excludes five patients with no CD4 count data.

[‡]Excludes four patients with unknown information about isoniazid preventive treatment.

doi:10.1371/journal.pone.0044626.t001

medical literature, the benefits of rapid treatment initiation of TB in HIV co-infected patients could improve individual prognosis and reduce overall TB disease transmission [2,25]. Our results indicate much better MTB/RIF performance in Mtb detection than what has been reported by other studies on HIV patients [12–15] which may reflect some differences in study or diagnostic methodologies, or that our study population had a higher probability of TB disease, illustrated by the presence of suggestive symptoms including at least 10 day cough and chest-x ray abnormalities and the high number of patients that were not on HAART at the time of enrolment despite their compromised immunological status.

A lower sensitivity (73%) of MTB/RIF was found among patients with or without TB symptoms in an antiretroviral therapy clinic in South Africa [15]. These patients had a lower probability of TB as opposed to our population. In a multicenter study with 40% of co-infected patients, the MTB/RIF test attained a sensitivity of 94%, similar to ours [11].

Three previous studies - two in South Africa and one in Tanzania- reported sensitivities of 70%, 84% and 88% respectively, while in our setting the sensitivity to detect TB was 98% [12–14]. These differences could also be partially explained by the fact that these studies were done on frozen stored samples. Prolonged sample storage and freeze thaw cycles may damage Mtb DNA and affect sputum viscosity, although a recent study done on frozen sputum samples described that MTB/RIF detected 64 out of 85 (75.3%) smear negative, culture-positive sputum samples, suggesting that freezing may have little impact on MTB/RIF sensitivity [26]. Nevertheless, this should be confirmed in larger studies.

Despite all the advances of HAART scale up worldwide, much of the preventable burden of TB related mortality is concentrated in populations with advanced immunosuppression and without HAART, as that of this study. Only 32% of HIV patients had initiated HAART at the time of enrolment in our study.

When we analyzed the performance of MTB/RIF compared to a reference standard including results from both sputum samples,

Table 2. Combinations of smear microscopy, reference standard and MTB/RIF results within eligible patients.

Number of patients (%) [*]	Reference standard		Index test (MTB/RIF)	Smear microscopy	Comment
	Löwenstein- Jensen	MGIT			
30 (22.4)	+	+	+	+	full agreement
13 (9.7)	+	+	+	–	false negative smear
1 (0.7)	+	+	–	–	false negative MTB/RIF and smear
1 (0.7)	–	–	+	+	false positive MTB/RIF and smear
2 (1.5)	–	–	–	+	false positive smear
1 (0.7)	–	–	+	–	false positive MTB/RIF
1 (0.7)	–	+	+	+	false negative LJ
82 (61.2)	–	–	–	–	full agreement
1 (0.7)	contaminated	contaminated	–	–	contaminated reference standard
2 (1.5)	–	–	invalid	–	invalid MTB/RIF

Löwenstein-Jensen = LJ; MGIT = Mycobacteria Growth Indicator Tube; MTB/RIF = XpertHMTB/RIF;

^{*} "+" = positive result; "–" = negative result; LJ and MGIT: composite reference standard.

^{*}Two eligible patients were excluded because they did not provide a second sputum sample.

doi:10.1371/journal.pone.0044626.t002

Table 3. MTB/RIF performance for *Mycobacterium tuberculosis* detection in per-patient and per-sample analysis.

	MTB/RIF per-patient [†]	MTB/RIF per-sample
Sensitivity	86.3% (95% CI 74.3–93.2) (44/51)	97.8% (95% CI 88.4–99.6) (44/45)
Specificity	97.5% (95% CI 91.3–99.3) (78/80)	97.7% (95% CI 91.9–99.4) (84/86)
Positive predictive value	95.7% (95% CI 85.5–98.8) (44/46)	95.7% (95% CI 85.5–98.8) (44/46)
Negative predictive value	91.8% (95% CI 84.0–96.0) (78/85)	98.8% (95% CI 93.6–99.8) (84/85)

MTB/RIF = XpertHMTB/RIF.

[†]The per-patient analysis evaluated the performance of MTB/RIF results from the second sample only against results from Löwenstein-Jensen (LJ) and Mycobacteria Growth Indicator Tube (MGIT), from both first and second sputum samples.

The per-sample analysis was done on the second sputum sample and evaluated the performance of MTB/RIF against the results from LJ and MGIT from the second sputum sample.

doi:10.1371/journal.pone.0044626.t003

the sensitivity was considerably reduced, yet this could be related to the fact that MTB/RIF was only done in one sample.

The performance of MTB/RIF to detect rifampicin resistance and thus its contribution for MDR-TB detection were not equally convincing. The index test did detect all the rifampicin resistant cases but also reported three false positives. Previous studies have addressed this issue [9,15,16,27] and the WHO recommends that rifampicin resistance results of MTB/RIF should be confirmed with further tests and treatment regimens should be based on the latter [28].

Our study has some limitations. WHO new guidelines recommend that TB should be suspected in any HIV-positive individual with any of the following symptoms: cough, weight loss or fever. Our study was designed before these guidelines were set, and it aimed to evaluate performance of MTB/RIF in a group of HIV-positive individuals with at least two of these symptoms, thus a more selected population. We decided to study a selected population of HIV-positive patients to narrow the risk of tuberculosis to a higher one. MTB/RIF performance could decrease among a less selected population of HIV-positive individuals as compared to our results. Currently, MTB/RIF is still costly and targeting its use in patients with the highest risk of TB could be a strategy for resource-limited settings.

Due to resource limitations, we only evaluated MTB/RIF performance on a single sputum sample; we could not genotype the strains of three cases with false positive rifampicin resistance results. However this reflects the commonly available resources in settings with high prevalence of TB. Also, the three false positive tests were performed with MTB/RIF software v 2.1 and not with the improved software v 4.0. Nonetheless, false positive rifampicin results have been previously reported with the latest version [15]. Finally, our sample size was small for a precise assessment of MTB/RIF performance for rifampicin resistance detection. The results we report may be extrapolated to populations similar to ours but not necessarily to others with lower pre-test probability such as HIV patients without specific symptoms suggestive of TB. However these study findings suggest that in a similar setting and

context an MTB/RIF negative, HIV-positive patient can be treated with high confidence.

In our study, MTB/RIF showed an excellent performance in detecting TB among patients with advanced immunosuppression and a high clinical suspicion of TB. A positive MTB/RIF result was almost 40 times more likely to occur in a subject with TB than in a subject without TB, and a negative MTB/RIF was also much more commonly seen in patients without TB.

We conclude that MTB/RIF can be an important diagnostic tool for TB disease amongst HIV-positive patients, particularly in patients with a high pre-test probability of TB. Many studies of new rapid TB diagnostic tests have been conducted in Africa where high HIV rates place a different perspective on TB programs and health systems. Further evaluation of MTB/RIF in Latin America is needed. Operational research should evaluate the yield of scaling up diagnostic algorithms of such strategies in order to evaluate the cost-effectiveness of rapid treatment initiation, improvement of individual prognosis and reduced disease transmission, within well established tuberculosis programs in TB endemic settings [29,30].

Acknowledgments

We thank Professor Patrick Van der Stuyft for his valuable input when reviewing the manuscript. We are grateful to Dr. Carolina Álvarez for data retrieval and MPH Lena Shah for final English editing. We thank Dr. Patricia Condorhuamán, Blg. Tatiana Cáceres and Blg. Celer Pantoja for their support with sample handling and processing. We are grateful with Foundation for Innovative New Diagnostics (FIND), as they donated MTB/RIF cartridges.

Author Contributions

Conceived and designed the experiments: GC EG. Performed the experiments: GC CZ ES JE. Analyzed the data: GC LO EGL MC CS EG. Contributed reagents/materials/analysis tools: CZ PN JE. Wrote the paper: GC LO EGL. Critical appraisal of the manuscript: GC LO EGL PN MC CS EG. Approved the final version of the manuscript: GC LO EGL CZ ES PN MC JE CS EG.

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PMID- 22970271
OWN - NLM
STAT- MEDLINE
DCOM- 20130307
LR - 20181113
IS - 1932-6203 (Electronic)
IS - 1932-6203 (Linking)
VI - 7
IP - 9
DP - 2012
TI - A diagnostic accuracy study of Xpert(R)MTB/RIF in HIV-positive patients with high clinical suspicion of pulmonary tuberculosis in Lima, Peru.
PG - e44626
LID - 10.1371/journal.pone.0044626 [doi]
AB - BACKGROUND: Diagnosis of pulmonary tuberculosis (TB) among human immunodeficiency virus (HIV) patients remains complex and demands easy to perform and accurate tests. Xpert(R)MTB/RIF (MTB/RIF) is a molecular TB diagnostic test which is rapid and convenient; the test requires minimal human resources and reports results within two hours. The majority of performance studies of MTB/RIF have been performed in high HIV burden settings, thus TB diagnostic studies among HIV patients in low HIV prevalence settings such as Peru are still needed. METHODOLOGY/PRINCIPAL FINDINGS: From April 2010 to May 2011, HIV-positive patients with high clinical suspicion of TB were enrolled from two tertiary hospitals in Lima, Peru. Detection of TB by MTB/RIF was compared to a composite reference standard Lowenstein-Jensen (LJ) and liquid culture. Detection of rifampicin resistance was compared to the LJ proportion method. We included 131 patients, the median CD4 cell count was 154.5 cells/mm³ and 45 (34.4%) had TB. For TB detection among HIV patients, sensitivity of MTB/RIF was 97.8% (95% CI 88.4-99.6) (44/45); specificity was 97.7% (95% CI 91.9-99.4) (84/86); the positive predictive value was 95.7% (95% CI 85.5-98.8) (44/46); and the negative predictive value, 98.8% (95% CI 93.6-99.8) (84/85). MTB/RIF detected 13/14 smear-negative TB cases, outperforming smear microscopy [97.8% (44/45) vs. 68.9% (31/45); p = 0.0002]. For rifampicin resistance detection, sensitivity of MTB/RIF was 100% (95% CI 61.0-100.0) (6/6); specificity was 91.0% (95% CI 76.4-96.9) (30/33); the positive predictive value was 66.7% (95% CI 35.4-87.9) (6/9); and the negative predictive value was 100% (95% CI 88.7 -100.0) (30/30). CONCLUSIONS/SIGNIFICANCE: In HIV patients in our population with a high clinical suspicion of TB, MTB/RIF performed well for TB diagnosis and outperformed smear microscopy.
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UNIVERSIDAD PERUANA CAYETANO HEREDIA
MAESTRÍA EN CONTROL DE ENFERMEDADES
INFECCIOSAS Y TROPICALES



Proyecto de Tesis:

“Evaluación del Xpert MTB/Rif un método diagnóstico rápido para TBC en pacientes VIH positivos con sospecha de TBC Pulmonar.”

Curso: Metodología de la Investigación II

Alumna: Gabriela Carriquiry C.

Asesor: Dr. Eduardo Gotuzzo H.

2009

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I. Introducción:

La co-infección TBC-VIH es una real amenaza para la salud pública, las personas que la presentan suelen generar resistencia a casi todos los fármacos utilizados para el tratamiento¹⁻⁴. La infección por tuberculosis es la primera causa de muerte en pacientes con VIH positivo a nivel mundial y representa aproximadamente el 13% de muertes asociadas a SIDA y esta infección, a su vez, constituye el principal factor de riesgo para desarrollar TBC activa¹. Se ha observado que en países con alta prevalencia, hasta un 80% de las personas con TBC tienen resultados positivos para VIH²⁻³. En el 2009 Global TB control report, reveló que una de cada cuatro muertes por TBC está asociada con la co-infección con el virus de inmunodeficiencia humana (VIH). Además, se estima que aproximadamente la mitad de las personas con la infección VIH/SIDA desarrollaran TBC en algún momento de su evolución^{1,4}. Es por lo tanto necesario aplicar métodos de diagnóstico temprano así como reforzar un tratamiento adecuado para prevenir la resistencia antimicrobiana y lograr disminuir los estragos de esta co-infección a nivel mundial.

El dispositivo Xpert MTB/Rif para ser utilizado con el sistema GeneXpert de Cepheid, es un método de diagnóstico precoz basado en PCR in-vitro real anidado semicuantitativo con la finalidad de detectar la presencia de ácido desoxirribonucleico de *Mycobacterium tuberculosis* (ADN MTB) en muestras de esputo y detección de resistencia a la Rifampicina asociada a mutaciones del gen *rpoB*⁵. Por este motivo puede ser un método adecuado para el diagnóstico y tratamiento oportuno de pacientes VIH positivos con sospecha de TBC pulmonar.

II. Planteamiento de la investigación:

Pese a la carga global que produce la infección por tuberculosis, el enfoque diagnóstico aún se basa en métodos tradicionales que presentan variadas limitaciones y actúan pobremente en pacientes VIH positivos^{1,6}. Las herramientas existentes en la actualidad son ineficientes para controlar la TBC en países en vías de desarrollo y aún más en aquellos con altas tasas de infección por VIH⁶. Los métodos de cultivo son lentos, complejos y aún poco disponibles en países con alta endemicidad y las pruebas de sensibilidad a Medicamentos (PSM) son aun más lentas y técnicamente más complejas.

Mientras los pacientes están a la espera de diagnóstico, la enfermedad progresa y el riesgo de morir aumenta, además continúan transmitiendo la enfermedad a otros, especialmente a sus familiares¹⁻³.

Luego de completar su desarrollo el dispositivo XpertMTB/Rif ha sido sometido a pruebas de validación clínica en un número de pacientes con suficiente poder estadístico en escenarios de Sudáfrica, India, Perú, Alemania y Azerbaiján, encontrándose que la prueba es altamente específica y sensible, detectando ADN de MTB en casi todas las pruebas con baciloscopia positiva (BK +) y un gran porcentaje de muestras BK negativas pero con cultivo positivo, en pacientes sintomáticos respiratorios, detectando también resistencia a la rifampicina con gran precisión⁵. Sin embargo aún no se han realizado estudios para evaluar la sensibilidad y especificidad de este método de diagnóstico en pacientes VIH positivos que es lo que se evaluará en esta investigación.

III. Marco Teórico:

El sinergismo de las infecciones de VIH y TBC es realmente poderoso y las muertes provocadas por la co-infección aumentan dramáticamente a las producidas por estas enfermedades por separado. De los 9.27 casos incidentes de TB en el mundo en el año 2007 un 15% (1.37 millones) fueron VIH positivos¹. Se estima que en ese mismo año 456 000 muertes se produjeron en pacientes con co-infección TBC-VIH²⁻³. Es decir un 33% de los casos incidentes de TB y un 23% de los 200 000 de muertes producidas por VIH a nivel mundial en el 2007^{1,8}.

Se estipula que las personas VIH positivos se encuentran en un riesgo 20 veces mayor a desarrollar tuberculosis que aquellos VIH negativos¹⁻³. En la actualidad en el Perú se estima una prevalencia de 1,3 a 2,3 % de pacientes con co-infección TBC-VIH¹. En el año 2006 la Estrategia Sanitaria Nacional de Prevención y Control de la TBC, estableció que esta co-infección representó el 1,8% de la morbilidad por tuberculosis; reportando además una tasa de incidencia de co-morbilidad para esta asociación de 2.29 x 100 000 habitantes^{1,7}. Además un estudio realizado en hospitales de Lima encontró para pacientes con co-infección VIH-TBC nunca tratados una resistencia primaria global de 55,8% y para la TB-MDR de 32,1% mientras que para el grupo de pacientes con tratamiento, se reportaron valores de 93% y 74,8% respectivamente⁸.

El diagnóstico para TBC se basa en baciloscopías, radiografías de torax y cultivos, métodos diagnósticos que tienen varias y conocidas limitaciones ^{1,2,9,10}. Pese a que la baciloscopia en esputo, es un método de diagnóstico, relativamente rápido y económico, cuenta con una baja tasa de detección de enfermedad de tan sólo 20-30%. El test es aún menos efectivo en pacientes con VIH, que presentan una menor carga bacilifera, presentando valores falsos negativos^{1,6,13}. Las radiografías de tórax están limitadas a locaciones equipadas y no proveen un diagnóstico definitivo. Los cultivos proveen un diagnóstico mucho más certero y brindan información sobre sensibilidad a fármacos, sin embargo toman más tiempo en proveer resultados, produciéndose a la vez una demora en el inicio del tratamiento e incrementando la tasa de infección. El método de proporciones con el Medio L-J es el método de sensibilidad utilizado de manera universal, y es considerado gold standard para sensibilidad a isoniazida, rifampicina, etambutol y estreptomycin, pero los resultados se observan recién a partir de las 8 a 12 semanas, en las mejores condiciones, pudiendo tomar hasta seis meses para obtenerlos¹⁴⁻¹⁷.

Un estudio matemático demostró que la utilización de un test de diagnóstico rápido y universalmente accesible, que no se vea afectado por el status VIH con una sensibilidad de 85% en baciloscopía positiva y negativa y con una especificidad de 97% lograría salvar un 22% de las muertes producidas por TBC a nivel global ⁹.

El sistema GeneXpert basado en PCR de tiempo real, anidado, múltiple y está diseñado para purificar, concentrar e identificar secuencias de ácido nucleico y entregar resultados de muestras de esputo no procesadas en aproximadamente 120 minutos, detectando además resistencia a Rifampicina. La resistencia a la Rifampicina está asociada a la detección molecular del gen *rpoB*, y generalmente indica resistencia a otras drogas (más del 95% de los TB-MDR)¹⁸⁻²⁰. El dispositivo XpertMTB/Rif tiene una sensibilidad a la resistencia a Rifampicina de 96.7% y una especificidad de 98.6% ⁵ Es por lo tanto, un método simple, basado en fluorescencia; donde el trabajo técnico consiste solamente en añadir el reactivo utilizado a las muestras de esputo para luego transferir un volumen definido de esta mezcla dentro de un cartucho, siendo, desde ese punto en adelante, un proceso automatizado ⁵ que no solo brinda diagnóstico de TB, sino información sobre resistencia.

IV. Justificación del estudio:

Hasta el momento la baciloscopia y el cultivo son los únicos métodos de laboratorio utilizados para determinar la respuesta al tratamiento anti-TBC y son considerados los métodos de diagnóstico principales^{1,2,7,8,10}. Debido a la alta tasa de pacientes que presentan co-infección TBC-VIH y a la elevada mortalidad de esta asociación¹⁻⁴, es sumamente importante enfatizar en la búsqueda de herramientas simples y rápidas que permitan no solo detección temprana de casos sino también desarrollo de resistencia a drogas¹⁻⁷. Es probable que el dispositivo Xpert MTB/Rif sea una buena herramienta para este propósito ya que no solo provee resultados para esputo no procesado en 120 minutos, con un tiempo mínimo de manipulación técnica, sino que detecta resistencia asociada a rifampicina en un solo ensayo, proveyendo resultados varios meses antes que las pruebas convencionales de sensibilidad a medicamentos.

V. Objetivos

Objetivo Principal:

Determinar la sensibilidad y la especificidad de la prueba Xpert MTB/Rif en pacientes VIH positivos con sospecha de TBC pulmonar comparándolo con el método gold standard (cultivo L-J), así como el medio líquido MGIT y Baciloscopia con tinción Ziehl-Neelsen.

Objetivo Secundario:

Demostrar el probable impacto del uso del dispositivo Xpert MTB/Rif en el sistema de salud al comparar tiempo en el diagnóstico en pacientes VIH positivos con sospecha de TBC pulmonar.

VI. Hipótesis:

La prueba molecular completamente automatizada Xpert MTB/Rif con procesamiento de muestra integrada es sencilla y capaz de permitir un incremento en las tasas de detección de TBC en pacientes VIH positivo, logrando además acortar el tiempo para iniciar el

tratamiento en comparación con el algoritmo diagnóstico convencional.

VII. Metodología

-Diseño del estudio: Evaluación de Prueba diagnóstica.

-Lugar del estudio: 1) Hospital Nacional Hipólito Unanue.

2) Centro de Salud de Piedra Liza.

Las muestras serán procesadas y analizadas en el “Instituto de Medicina Tropical Alexander von Humboldt” del Hospital Nacional Cayetano Heredia en el periodo comprendido entre Diciembre 2009 y Abril del 2010.

-Población del estudio: Pacientes con diagnóstico de VIH y con sospecha de TBC pulmonar del Hospital Nacional Hipólito Unanue y el Centro de Salud de Piedra Liza.

-Tamaño Muestral:

Utilizando Stata versión 10.0 y en base a los resultados de sensibilidad 84% del medio de cultivo standard¹¹⁻¹⁴ y a los datos de sensibilidad y especificidad según manual del fabricante del dispositivo Xpert MTB/Rif (REF) que refiere sensibilidad en pacientes con baciloscopia negativa y cultivo positivo (S-C+): 90.9% y para baciloscopia positiva y cultivo positivo (S+ C+): 100%⁵. Se obtuvo un tamaño muestral de 111 pacientes. Considerando la opción de pérdidas, contaminaciones, imprevistos, etc. se utilizará un tamaño muestral de 150 pacientes.

Recolección de Muestras:

Las muestras (2ml cada una) se deben tomar con el paciente sentado o parado, antes de la toma, el paciente debe enjuagar la boca con agua (2 veces). Las muestras se deben mantener a 2-8°C luego de procesarlas mientras sea posible, sin embargo si es necesario se pueden guardar a un máximo de 35°C por un máximo de 3 días y a 4°C entre 4 a 10 días⁵.

-Criterios de Inclusión:

Pacientes VIH positivos.

Pacientes con sospecha de TBC pulmonar.

Pacientes mayores de 18 años.

Pacientes que puedan proporcionar dos (02) muestras de esputo con volumen total requerido de 4 ml (cada muestra de 2 ml).

-Criterios de exclusión:

Muestras de esputo que contengan partículas sólidas o evidentemente hemoptoicas.

Pacientes que no deseen participar.

-Recopilación de datos:

Se utilizara una ficha diseñada para recolectar información clínica-epidemiológica de interés de los pacientes enrolados en el estudio con VIH y sospecha de TBC pulmonar (adjunta en anexos). Los datos colectados serán ingresados a una base de datos y a cada uno de los pacientes se les asignara un código único de identificación. Se llevara a cabo además de la evaluación bacteriológica con pruebas convencionales de susceptibilidad a medicamentos por el método de proporciones (L-J), medio de cultivo liquido MGIT y Xpert MTB/Rif y Baciloscopía esputo con tinción de Ziehl-Neelsen.

-Análisis estadístico:

Se utilizaran los programas Epi Info versión 3.5.1 y Stata versión 10.0.

Variables dicotómicas:

Xpert MTB/Rif: + o -

Cultivo L-J: + o -

Cultivo MGIT: + o -

BK en esputo: + o -

Las muestras contaminadas/inútiles con los diversos métodos diagnósticos serán comentadas y consideradas. Para hacer frente a la dificultad inherente de evaluar una prueba más sensible que las pruebas de referencia y para reducir al mínimo el sesgo de incorporación (uso de los resultados de la prueba experimental como parte del resultado

de referencia) se realizaran, estudios microbiológicos, moleculares y epidemiológicos completos de los cultivos discordantes.

Resultado de referencia positivo: resultado positivo mediante al menos un método para el que se hubiese descartado de forma concluyente la contaminación cruzada.

Resultado de referencia negativo: cualquier muestra en la que con los todos los métodos de se obtuvieran resultados negativos o por lo menos un resultados negativo y tres indeterminados.

Para evaluar la rapidez en el diagnostico utilizando el dispositivo XpertMTB/Rif , se realizara un cálculo de la media en días en obtener resultados positivo y negativo comparándolo con el tiempo en obtener cultivos positivo o negativo utilizando los cultivos L-J y MGIT.

VIII. Consideraciones éticas:

Se solicitará permiso al comité de ética central y local correspondiente si fuese necesario. La participación en este estudio no presenta ningún riesgo para los pacientes y es voluntaria; así mismo se realizara el proceso de consentimiento informado. Toda la data colectada que se requiera y pudiese identificar al paciente (edad, nombre, género) será codificada para mantener confidencialidad. No se creara un banco de muestras y se asegurará que todos los participantes reciban el resultado diagnóstico final para que accedan a sus respectivos centros de salud para recibir el tratamiento correspondiente. Cabe resaltar que al momento no se han realizado estudios anteriores en población VIH positiva utilizando el dispositivo XpertMTB/Rif, por lo cual no se podrá definir hasta finalizar el estudio, en que medida podrán aportar los resultados para definir sobre tratamiento antiTBC en esta población.

IX. Recursos:

El estudio será financiado por la Fundación FIND (Foundation for innovative new diagnostics).

Insumos:

Sistema Dispositivo GeneXpert equipado con:

- Software GX 2.1
- Xpert/MTB Rif Kit
- Impresora
- PC

Guantes descartables: 30 cajas: 10 dólares por caja.

Mascarillas N95: 10 cajas: 40 dólares por caja.

Pipetas estériles: 40 cajas: 30 dólares por caja.

Materiales de escritorio: 500 dólares

Transporte para las muestras: 60 dólares semanales.

Cultivos MGIT: 200 muestras: 3 dólares por muestra.

Cultivos L-J: 200 muestras: 3 dólares por muestra.

Baciloscopia con Ziehl Neelsen: 200 muestras: 1 dólar por muestra.

Enfermera contratada (horario:8-12 h) : 250 dólares al mes.

Presupuesto total para el año: 18840 dólares

X. Cronograma:

	Ago - Sept 2009	Oct - Dic 2009	Ener - Mar 2010	Abr - Jul 2010
Financiamiento	X			
Aprobación por comité de ética		X		
Obtención de Muestras			X	X
Procesamiento de las Muestras			X	X
Análisis de los Resultados			X	X
Redacción de la Tesis				X

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XII. Anexos

Ficha Clínica

Formulario de Consentimiento Informado